

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

Docket No.: 480062003900

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Dated: July 14, 2004

Signature: 

(Linda J. Burleigh)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Guy ROME et al.

Serial No.: 10/803,207

Filing Date: March 18, 2004

For: VALVED CATHETER

Examiner: Not Yet Assigned

Group Art Unit: 3763

**INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98**

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Pursuant to the USPTO notice dated July 11, 2003, waiving the requirement under 37 C.F.R. § 1.98 (a)(2)(i) to provide copies of U.S. Patents and U.S. Published Applications, copies of those references are not submitted. Copies of foreign documents and non-patent literature are submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

- ☐ With the application; accordingly, no fee or separate requirements are required.
- ☐ Before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114. However, if applicable, a certification under 37 C.F.R. § 1.97 (e)(1) has been provided.
- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required. However, if applicable, a certification under 37 C.F.R. § 1.97 (e)(1) has been provided.
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
  - ☐ A fee is required. A check in the amount of \_\_ is enclosed.
  - ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above; accordingly; no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above and a check in the amount of \_\_ is enclosed.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above and a Fee Transmittal form (PTO/SB/17 is attached to this submission in duplicate.)

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

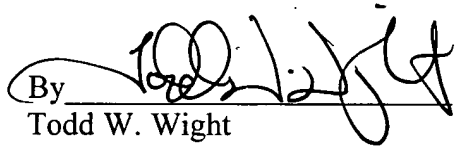
The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist;

(iii) the information, protocols, results and the like reported by third parties are accurate or enabling;  
or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. § 1.17 (p)) is required, Applicants petitions for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing **(480062003900)**. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: July 14, 2004

Respectfully submitted,

By   
Todd W. Wight  
Registration No.: 45,218  
MORRISON & FOERSTER LLP  
555 West Fifth Street  
Suite 3500  
Los Angeles, CA 90013-1024  
(949) 251-7189

Form PTO-1449

Docket Number 480062003900

Application Number 10/803,207

INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION

(Use several sheets if necessary)

Applicant

Guy ROME

Filing Date March 18, 2004

Group Art Unit 3763

Mailing Date July 14, 2004

## U.S. PATENT DOCUMENTS

| Examiner Initials | Ref. No. | Date     | Document No. | Name             | Class | Subclass | Filing Date If Appropriate |
|-------------------|----------|----------|--------------|------------------|-------|----------|----------------------------|
|                   | 1.       | 02/18/86 | 4,571,241    | Christopher      |       |          |                            |
|                   | 2.       | 02/02/88 | 4,722,725    | Sawyer et al.    |       |          |                            |
|                   | 3.       | 11/15/88 | 4,784,644    | Sawyer et al.    |       |          |                            |
|                   | 4.       | 01/03/89 | 4,795,426    | Jones            |       |          |                            |
|                   | 5.       | 02/04/92 | 5,085,645    | Purdy et al.     |       |          |                            |
|                   | 6.       | 12/08/92 | 5,169,393    | Moorehead et al. |       |          |                            |
|                   | 7.       | 04/13/93 | 5,201,722    | Moorehead et al. |       |          |                            |
|                   | 8.       | 04/27/93 | 5,205,834    | Moorehead et al. |       |          |                            |
|                   | 9.       | 06/01/93 | 5,215,538    | Larkin           |       |          |                            |
|                   | 10.      | 09/07/93 | 5,242,413    | Heiliger         |       |          |                            |
|                   | 11.      | 08/09/94 | 5,336,192    | Palestrant       |       |          |                            |
|                   | 12.      | 03/07/95 | 5,395,352    | Penny            |       |          |                            |
|                   | 13.      | 04/11/95 | 5,405,323    | Rogers et al.    |       |          |                            |
|                   | 14.      | 12/05/95 | 5,472,418    | Palestrant       |       |          |                            |
|                   | 15.      | 10/19/99 | 5,967,490    | Pike             |       |          |                            |
|                   | 16.      | 04/24/01 | 6,221,057 B1 | Schwartz et al.  |       |          |                            |

## FOREIGN PATENT DOCUMENTS

| Examiner Initials | Ref. No. | Date     | Document No.    | Country | Class | Subclass | Translation YES NO |
|-------------------|----------|----------|-----------------|---------|-------|----------|--------------------|
|                   | 17.      | 09/18/02 | EP 1 240 916 A1 |         |       |          |                    |

## OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

| Examiner Initials | Ref. No. | Title |
|-------------------|----------|-------|
|                   |          |       |

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.